

**U.S. Embassy Baghdad
Public Affairs Section**

Notice of Funding Opportunity Title (NOFO): U.S.-Iraqi Think Tank Linkage Program for Iraqi Academics and Commentators

Announcement Type: Grant
Funding Opportunity: DOS-Baghdad-PD-2018-005

CFDA: 19.021
Opening Date: 02/15/2018
Closing Date: 04/30/2018

Contact Information:

For information and questions about this announcement, please send all email inquiries to the U.S. Embassy Baghdad at baghdadpdgrants@state.gov. All correspondence to baghdadpdgrants@state.gov regarding this NOFO should reference the title and Funding Opportunity Number located at the top of this announcement when making your request. Once the NOFO closing date has passed, baghdadpdgrants@state.gov may not discuss this competition with applicants until the proposal review process has been completed.

NOTIFICATION

Applicants can expect to be notified of the status of their application within thirty (30) days of the submission deadline. Issuance of this NOFO does not constitute an award commitment on the part of the United States Government. It does not commit the USG to pay for costs incurred in the preparation and submission of proposals. The USG reserves the right to reject any or all proposals received.

If a proposal is selected for funding, the U.S. Embassy in Baghdad has no obligation to provide any additional future funding in connection with the award. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Embassy.

SUMMARY:

The U.S. Mission in Iraq announces an open competition for eligible organizations to submit proposals for a grant to implement the U.S.-Iraqi Think Tank Linkage Program for Iraqi Academics and Commentators program. The Public Affairs (PA) Section seeks to connect an influential group of Iraqi think tank representatives with their counterparts in the United States. This program would send ten (10) academics and Iraqi media commentators, selected by Embassy PA staff, to the United States for a ten (10) day exchange program to meet with representatives of American think tanks, U.S. government representatives, and international and media organizations. Topics to be covered include the role of think tanks and policy research institutions in U.S. society, the collaboration of think tanks with educational institutions, NGOs,

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and government branches, U.S.-Iraq bilateral relations in the post-ISIS era, the continued International Coalition role in Iraq post-ISIS, investment opportunities in Iraq for American companies, Iraqi Prime Minister Abadi's anti-corruption and national reconciliation efforts, and relations between the Iraqi federal government in Baghdad and the Iraqi Kurdistan Regional Government.

Subject to the availability of funds, the Embassy expects to award one Grant up to \$100,000 to carry out the U.S.-Iraqi Think Tank Linkage Program.

The main goal of the program would be to establish linkages between the participating academics/commentators with their counterparts in the United States to develop partnerships that would increase current and future dialogue and exchange of information, including joint publications and events. This exchange of information could assist in more informed think tank analysis and reporting to a local audience in both countries, creating a better understanding of bilateral relations. Additional objectives of the program include the sharing of best practices in think tank structure and activities, as well as insight into think tank independence, policy analysis and policy advocacy.

A. PROGRAM DESCRIPTION

The 10-day U.S.-Iraqi Think Tank Linkage Program for Iraqi Academics and Commentators will take place in both Washington, DC and New York City. Program participants will visit U.S. federal government entities, academic institutions, civil society organizations, and media outlets.

The principal goal of the program is to connect some of Iraq's most prominent think tank representatives, academics, Iraqi media commentators, and public opinion influencers with think tank representatives in the United States. The U.S. Embassy in Baghdad will draw participants from both government-funded and private think tanks in Iraq, as well as from academia. The selected participants will be individuals who are known to publish in-depth reports on topics of political, security, economic, and social interest for consideration by decision makers in the Iraqi government and general public. The selected participants will be individuals who appear regularly on Iraqi media platforms as commentators/experts to provide analysis and context on political, security, economic, and social issues to an Iraqi and regional audience.

Think tanks in Iraq contribute to the shaping of public opinion in the country. Representatives of these organizations are regularly called upon to appear on Iraqi media platforms to explain the dynamics of U.S.-Iraq bilateral relations and the International Coalition role in Iraq, in addition to a wide variety of local, regional and international issues. Iraqi think tank representatives also perform the role of advising and providing analysis for senior Iraqi government officials. Many of these political analysts, social commentators, and think tank leaders have expressed a desire to forge greater ties with their American counterparts and have asked for U.S. Embassy assistance in pursuing collaboration with American political research and analytical institutions.

This program would provide key influencers in Iraq an opportunity to connect with their counterparts in the United States to: 1) Explain the dynamic political, security, economic and social situation in Iraq to American public opinion influencers and media organizations; 2) Receive a better understanding from their American counterparts on how U.S. policy towards Iraq is shaped and what direction it is moving towards; and 3) Learn of best practices in establishing and growing think tanks and research institutions with a goal of providing timely, professional analytical products that influence public opinion and are of use to decision makers.

Examples of Proposed Participant Activities:

- In depth meetings with representatives of American think tanks from across the political spectrum that have an interest in U.S. foreign policy in the Middle East, such as the Brookings Institution, Heritage Foundation, U.S. Institute of Peace, Council on Foreign Relations, Center for Strategic and International Studies, and others. The participants may conduct lectures at the various think tanks. These meetings should go beyond courtesy calls and introductory visits and should aim toward establishing lasting connections.
- Meetings with United States government officials, both in the federal government's executive (State Department, Department of Defense, and National Security Council) and legislative branches (Committee on Foreign Relations and Committee on Armed Services)

Recipient's Role – Project Activities:

- The recipient will design and plan a substantive program in the United States that focuses on the U.S. foreign policy making process, the relationship between the U.S. government, think tanks and the media, and best practices for think tanks in the United States.
- Do research to identify site visit locations (think tanks and media organizations) willing to meet in depth with visiting participants.
- Contact said organizations to schedule meetings;
- The recipient will provide names, biographies/resumes, company descriptions and official photographs of recommended U.S. interlocutors/speakers/participants, along with justifications for their participation, to Public Affairs Baghdad for review and approval;
- Once Embassy Public Affairs approves an agenda, the recipient will process all necessary planning and logistical arrangements for the intended activities as

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directed by Public Affairs. This includes, but is not limited to, the tasks listed below.

Program (general):

- Coordinate the planning and implementation of each program. Identify possible venues and partner organizations, and duration of each program;
- Provide translation/interpretation in English and Arabic during program events and as needed;
- Provide program/event handouts and translated CVs/biographies to participants during the sessions;
- Provide Embassy Public Affairs staff with background information on institutions, partners and participants that will be involved at each venue where the program will take place;
- Provide and send program reports in accordance with the guidelines provided by Embassy Public Affairs and using Embassy provided format within two (2) weeks after program completion;
- As approved by Embassy Public Affairs staff, organize pre-program or follow-on activities, such as Facebook posts, web chats, short videos with the speakers, post-trip press events, and other similar amplification events;
- Provide miscellaneous administrative support for speakers and hosts as required.

Logistics and Travel:

- Work with each participant to provide support for logistics regarding visa applications (providing the correct application forms, scheduling visa interviews, paying visa fees, etc.);
- Work directly with each participant to define travel itineraries and purchase roundtrip airfare tickets;
- Arrange hotel reservations for the delegation, and can either cover lodging expenses directly or provide the participants with funds in advance to cover expenses in cash upon arrival;

- Budget and manage per diem to cover meals, incidentals, and transportation for the Iraqi participants; and
- Purchase health insurance for the participants to cover the trip's duration.

Public Affairs Section (PA) Baghdad will be involved in this project, including, but not limited to:

- Approving the selection of program activities, venues, and participants/speakers; approving program duration and schedule, and identifying constraints such as local holidays or weekends; and
- Confirming topics and types of events at which participants will participate.

B. Federal Award Information

Type of Award: Grant

PAS BAGHDAD's level of involvement in this program is listed under section A. Program Description above.

Approximate Total Funding: \$100,000, pending availability of funds

Approximate Number of Awards: One

Anticipated Award Date: April 15, 2018

Anticipated Project Completion Date: September 01, 2018

Additional Information: Applicants' budget submissions should be realistic and reflect anticipated actual costs required to implement the program(s) and the varying costs specific to programming needs.

The U.S. Embassy Baghdad Public Affairs Section reserves the right to award less or more than the funds described under circumstances deemed to be in the best interest of the U.S. government.

Applications must be prepared and submitted using the Public Diplomacy Grants Program Application form, available on www.grants.gov or by request from baghdadpdgrants@state.gov. Please submit questions and completed applications to baghdadpdgrants@state.gov.

The recipient of funding under this announcement will be required to submit quarterly technical and financial reports during the term of the project, as well as a final assessment at the end of the project.

Contact Information: For questions about this announcement, please send all inquiries to baghdadpdgrants@state.gov.

C. Eligibility Information

C.1 Eligible applicants: Applications must be submitted by U.S. public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3).

Eligibility: Applicants must:

- Be a U.S. non-profit organization meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3); an internationally based non-profit organization; an academic institution; or other institution for which profit is not a primary motive;
- Have demonstrated experience developing and administering similar programs;
- Have the capacity to operate in Iraq and have active partnerships with organization(s) operating in Iraq needed to support the program.

All Federal assistance recipients must have a UNIQUE ENTITY IDENTIFIER (DUNS) and a CCR (CAGE) number prior to funds disbursement. A DUNS number may be acquired at no cost by calling the dedicated toll-free DUNS number request line at 1-866-705-5711 or requesting on-line at www.dnb.com.

C.2 Cost Sharing or Matching Funds: There is no minimum or maximum percentage required for this competition. However, PAS BAGHDAD encourages applicants to provide maximum levels of cost sharing and funding in support of its programs.

When cost sharing is offered, it is understood and agreed that the applicant must provide the amount of cost sharing as stipulated in its proposal and later included in an approved agreement. Cost sharing may be in the form of allowable direct or indirect costs. For accountability, you must maintain written records to support all costs which are claimed as your contribution, as well as costs to be paid by the Federal government. Such records are subject to audit. The basis for determining the value of cash and in-kind contributions must be in accordance with the Office of Management and Budget's Circular 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In the event you do not provide the minimum amount of cost sharing as stipulated in the approved budget, PAS Baghdad's contribution will be reduced in like proportion.

C.3 Other Eligibility Requirements:

Technical Eligibility: All proposals must comply with the requirements stated in the Proposal Submission Instructions; non-compliance will result in your proposal being declared technically ineligible and given no further consideration in the review process.

Eligible applicants may not submit more than one proposal in this competition.

If more than one proposal is received from the same applicant, all submissions will be declared technically ineligible and will receive no further consideration in the review process.

Please note: Applicant organizations are defined by their legal name and EIN number as stated on their completed SF-424 and additional supporting documentation outlined in the Proposal Submission Instructions document.

Application and Submission Information:

Note: Please read the complete announcement before sending inquiries or submitting proposals. Once the NOFO closing date has passed, PAS BAGHDAD staff may not discuss this competition with applicants until the proposal review process has been completed.

To meet the announcement closing date, submissions must be received by on or before Monday, April 30, 2018.

The applicant is requested to submit a completed proposal package that includes a project narrative and all mandatory appendices, per the solicitation instructions below. Note: The applicant must provide a statement of explanation for any mandatory appendices that are not submitted with their application. Unsolicited appendices will not be read and should not be submitted for this award.

Key Registrations:

All federal award recipients must maintain current registrations in the SAM database. Recipients must maintain accurate and up-to-date information in www.SAM.gov until all program and financial activity and reporting have been completed. Recipients must review and update the information at least annually after the initial registration and more frequently if required information changes or another award is granted. Failure to register in SAM will render applicants ineligible to receive funding.

All applicants must complete the following registrations:

- Register for a Unique Entity Identifier (DUNS) number at <http://fedgov.dnb.com/webform>.
- Obtain necessary codes: for non-U.S. organizations, an NCAGE code at <https://eportal.nspa.nato.int/AC135Public/scage/CageList.aspx>.

Please be advised that completing all the necessary steps to post applications on Grants.gov can take up to two full weeks. Once registered, the amount of time it can take to upload an application varies depending on a number of factors, including the size of the application and the speed of your internet connection. If the applicant fails to successfully register they will not be considered for funding. PAS BAGHDAD strongly urges the applicant to begin the process to register well in advance of the submission deadline, and to not wait until the application deadline to begin the submission process.

The Grants.gov website includes extensive information on all phases/aspects of the Grants.gov process, including extensive sections on user guides and recommendations, as well as grantee training videos, located under the “Help/Support” section. PAS BAGHDAD strongly recommends that all potential applicants review the website thoroughly.

For assistance with GrantSolutions.gov, please contact the Grants.gov Help Desk.

Support help is available at: Support@Grants.gov »

Toll Free Telephone: 1-800-518-4726

International: 1-606-545-5035

It is the responsibility of all applicants to ensure that proposals have been submitted in their entirety to www.Grants.gov. PAS BAGHDAD bears no responsibility for data errors resulting from transmission or conversion processes.

D. Instructions for Proposal Preparation:

Applications must be prepared and submitted using the Public Diplomacy Grants Program Application form, available on www.grants.gov or by request from baghdadpdgrants@state.gov. Please submit questions and completed applications to baghdadpdgrants@state.gov .

A complete proposal package will contain (I) a proposal narrative that does not exceed ten (10) pages and (II) all mandatory appendices as listed below. The proposal must be submitted in English, formatted to 8 ½ x 11 paper in Microsoft Word document, written in size 12 font, single spaced, and have page numbers. Proposals should utilize the Proposal Template to address the issues listed below:

1. Begin with a one-page executive summary (which does not count against your page number limit) outlining the problem that describes what this project seeks to address, as well as your organization's expertise, capacity, and experience in implementing the proposed program.

-Give a clear description of assumptions, short-term and long-term project goal(s), objective(s), activities, outputs and intended results linked to your project; provide matching indicators and a plan to demonstrate a baseline at project inception that measures the current status of conditions that the project seeks to affect.

-Please describe how your organization would incorporate the proposed program into its already existing programming, and opportunities you see for growth and expansion of the program. Describe where the initiative will be physically housed, and if in multiple locations, describe how communication will be maintained among project personnel.

2. Full description of the program

3. Organizational expertise, competency, and past performance.

-Please describe your organization's mission and the type of programs it has.

-Where is the organization based and does it have operations in any other countries/regions? Please describe.

-How many communities does your organization's work currently reach?
What kind of partnerships has your organization developed?

-Describe areas of key competency, past domestic/international experience, if any, and structure of the organization. How many people have you previously reached with prior projects? What changes have resulted from it? Please include statistics (if appropriate) and specific examples as evidence of your previous impact.

4. Work Plan and Timeline

-Submit a detailed implementation plan and a monitoring and evaluation plan that follows the project timeline.

-Also include a description of how the project will be sustained at the conclusion of award funds.

E. Detailed Instructions for Required Appendices:

As an appendix and in addition to the proposal, applicants must submit a budget broken down by program year(s) with an accompanying detailed budget narrative (in Word 2000 or Word 2003 text accessible) which provides in detail the total costs for implementation of the program as further detailed below. Both of these components must specify the total amount of funding requested in U.S. dollars.

1) Line-Item Budget

The line-item budget can be submitted in MS Excel format and should show the organization's technical and labor cost categories as it relates to the proposed project. The line-item budget must be submitted in US dollars (USD) and include three [3] columns outlining the request to PAS BAGHDAD staff, any cost sharing contribution, and total budget. It should include detailed information on personnel and consultants with proposed salary and salary history. In the case of sub-grantee partner organizations, a detailed line-item budget for each sub-grantee should also be included. Costs should be identified in each of the budget categories listed below, and

should detail estimation methods, quantities, unit costs, and other similar points.

A. Personnel – In general, employees receiving benefits from the applicant organization are considered staff. Consultants hired to assist with the program who do not receive benefits should be included under Contractual. Identify staffing requirements by each position title and brief description of duties. Include dates the staff will be hired. Each staff member's salary calculation should include the annual/base salary of each position, percentage of time and number of months devoted to the project. (e.g., Administrative Director: \$30,000/year x 25% x 8.5 months; calculation: $\$30,000/12 = \$2,500 \times 25\% \times 8.5 \text{ months} = \$5,312$.) This can be in the budget narrative if the organization prefers.

B. Fringe Benefits – State benefit costs separately from salary costs and explain how benefits are computed for each category of employee (specify type and rate).

C. Travel – Staff and any participant travel:

- 1) International airfare
- 2) In-country travel overseas
- 3) Domestic travel in the United States, if any
- 4) Per diem/maintenance: includes lodging, meals and incidentals for both participant and staff travel. Rates of maximum allowances for U.S. and foreign travel are available from the following website:

<http://www.gsa.gov/portal/category/21287> and

http://aoprals.state.gov/content.asp?content_id=184&menu_id=78.

Per diem rates may not exceed the published U.S. government allowance rates; however, institutions may use per diem rates lower than official government rates.

Please explain differences in fares among travelers on the same routes (e.g., project staff member traveling for three weeks whose fare is higher than that of staff member traveling for four months).

Please note that all travel, where applicable, must be in compliance with the Fly America Act. For more information see

<http://www.gsa.gov/portal/content/103191>. PAS Baghdad shall approve all proposed travel under this budget.

D. Equipment – Equipment is defined as having a per-unit cost of \$5,000 and a service life of more than one year. If the item meets these criteria than all federal procurement policies and procedures must be followed. If an item does not meet these criteria it is considered a supply. Please provide justification for any equipment purchase/rental.

E. Supplies – List items separately using unit costs (and the percentage of each unit cost being charged to the cooperative agreement for photocopying, postage, telephone/fax, printing, and office supplies (for example, Telephone: \$50/month x 50% = \$25/month x 12 months).

F. Contractual –

a. Sub grants – For each sub grant/contract please provide a detailed line item breakdown explaining specific services. In the sub grant budgets, provide the same level of detail for personnel, travel, supplies, equipment, direct costs, and fringe benefits required of the direct applicant. If indirect is charged on a sub grant please include a NICRA.

Please note that a sub grantee who receives equal to or more than \$25,000 is required to have a DUNS number. Please visit www.fsrs.gov for more information.

Please Note: it is the applicant's responsibility to ensure that proposed sub-awardees are eligible for U.S. government funding.

b. Consultant Fees – For example lecture fees, honoraria, travel, and per diem for outside speakers or external evaluators: list number of people and rates per day (for example, 2 x \$150/day x 2 days).

C. Construction – Due to the nature of PAS BAGHDAD programs, construction costs are generally not allowable or applicable.

d. Other Direct Costs – these will vary depending on the nature of the project. The inclusion of each should be justified in the budget narrative. A-

133 audit costs can be included if they are not part of the indirect pool and only the portion of the cost associated with this program.

e. Indirect Charges – An organization with a Negotiated Indirect Cost Rate Agreement (NICRA) from a cognizant federal government agency other than the U.S. Department of State should include a copy of the cost-rate agreement. Applicants should indicate in the proposal budget how the rate is applied and if any of the rate will be cost-shared. PAS BAGHDAD generally does not pay indirect costs against participant expenses, but each case may vary. Organizations claiming indirect costs should have an established NICRA. If sub grantees are claiming indirect costs, they should have an established NICRA that is also submitted with the proposal package. If your organization does not have a NICRA, the proposal budget should not have a line item for indirect cost charges. Rather, any costs that may be considered as indirect costs should be included in specific budget line items as direct costs.

f. Cost Share or Matching Funds: This project does not require cost sharing; however, applications that include additional in-kind and/or cash contributions from third party sources will reflect increased commitment to the overall project and demonstrates greater cost effectiveness. If the proposed project is a component of a larger program, identify other funding sources for the proposal and indicate the specific funding amount to be provided by those sources. In addition, it is recommended that the budget narrative address the overall cost-effectiveness of the proposal, including leveraging of institutional or other resources.

When cost sharing is offered, it is understood and agreed that the applicant must provide the amount of cost sharing as stipulated in its proposal and later included in an approved agreement. Cost sharing may be in the form of allowable direct or indirect costs. For accountability, project implementers must maintain written records to support all costs which are claimed as your contribution, as well as costs to be paid by the Federal government. Such records are subject to audit. The basis for determining the value of cash and in-kind contributions must be in accordance with the Office of Management

and Budget's Circular 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In the event you do not provide the minimum amount of cost sharing as stipulated in the approved budget, NEA contribution will be reduced in like proportion.

G. Budget Narrative

The budget narrative should provide additional information that might not be readily apparent in the detailed-line item budget, not simply repeat what is represented numerically in the budget, i.e. salaries are for salaries or travel is for travel. The budget narrative should briefly explain each line item to sufficiently justify each identified cost. It should include a justification for how the cost in each category is derived.

- Personnel - Identify staffing requirements by each position title with a brief description of duties, including work locations, and other justifications for these costs as they relate to the project. Include resumes for any key personnel who are listed by name in the proposal.
- Fringe Benefits - Provide an explanation of fringe costs and how they are calculated.
- Travel - Provide a description of travel costs, including the purpose of the travel and how the travel relates to the project.
- Equipment - Provide justification for any equipment purchase/rental, including computers and related hardware, and their planned use for the project.
- Supplies - Specifically describe general categories of supplies and their direct use for the project.
- Contractual - Describe each contractual or consultant cost, and outline the necessity of each for the project.
- Other Direct Costs - Provide a narrative description and a justification for each cost under this category and describe how the costs specifically relate to this project.
- Indirect Costs - Provide approved NICRA for any indirect costs requested for the project.

The US Embassy Public Affairs Section must determine that the costs paid for this award are reasonable, allowable, and allocable to the proposed project activities. This will consist of a review of the line-item and narrative budgets to determine if the overall costs are realistic for the work to be performed. Costs shall be evaluated for realism, control practices, and efficiency. Emphasis will be placed on the cost-effectiveness of the proposal. The overhead and administrative components of the proposal, including salaries and fringe benefits, should be kept as low as possible. All other items should be necessary and appropriate. Cost-sharing is strongly encouraged, but not required.

Please see Section F for information on funding restrictions. Applicants must also disclose any funding they are receiving or planning to receive from other entities [or] [including] other agencies of the U.S government or other Department of State offices.

Applicants may be subject to a pre-award financial and capacity survey by the Department of State.

Before a cooperative agreement is awarded, PAS BAGHDAD reserves the right to reduce, revise, or increase proposal budgets in accordance with the needs of the initiative and the availability of funds.

Detailed Instructions on M&E Plan Submission

Applications must contain a monitoring and evaluation (M&E) plan that provides sufficient detail as to how project objectives will be achieved and how the project will further the Visiting American Professionals Program goals. This document should clearly identify the project goal(s), objective(s), and activities, as well as the output and outcome indicators by year that will be used to measure progress towards planned results. Baselines and targets for indicators should also be specified, along with a plan to complete a baseline assessment at project inception that measures the current status of conditions that the project seeks to affect as well as prior to completion of

the project to assess impact of the project since inception. Output indicators should measure the products and services delivered directly by the program activities described. Outcome or result indicators should measure transformation and higher-level results of each activity. A recommended PAS BAGHDAD monitoring and evaluation plan template is included.

The M&E Plan shall include the following:

- (i) The results to be achieved by the program;
- (ii) Qualitative and quantitative indicators to be used to measure achievement of the results;
- (iii) The method of data collection to be used to obtain the indicator data; and
- (iv) Targets for each indicator by year.

The Recipient shall consult with the PAS BAGHDAD staff in the development of the M&E Plan.

Risk Analysis

Applications must contain a risk analysis. Risks are unavoidable – all programs inherently contain both internal and external risks. However, with proper identification and management, risks can be prepared for, minimized or mitigated. The purpose of a risk analysis is to identify the internal and external risks associated with the proposed program in the application, rate the likelihood of the risks, rate the potential impact of the risks on the program, and identify actions that could help mitigate the risks. A risk analysis should not be considered a one-time exercise or a static document. PAS BAGHDAD defers to organizations to conduct adequate risk analysis and remediation for all of its operations and advises that risk analysis and remediation occur throughout the life of a program and should result in revisions to risk analysis documents and processes as necessary. Applicants should include all assumptions and external factors identified in the logic model in the risk analysis. Applicants should rate the likelihood of a risk and potential impact of the risk as “High,” “Medium,” or “Low.” A recommended PAS BAGHDAD format for a risk analysis is included.

The budget narrative should detail what cost sharing, if any, will be contributed to the program. Applicants with a Negotiated Indirect Cost Rate Agreement (NICRA) with the USG should submit a copy of the NICRA to substantiate proposed indirect cost charges. Applicants who do not currently have a Negotiated Indirect Cost Rate Agreement (NICRA) from their cognizant agency must also submit the following information:

- Copies of the Applicant's financial reports for the previous 3-year period, which have been audited by a certified public accountant or other auditor satisfactory to PAS BAGHDAD staff.
- Projected budget, cash flow and organizational charts; and a copy of the organization's accounting manual.
- Applicants who intend to utilize sub-awardees should indicate the extent intended and a complete cost breakdown. Extensive agreement financial plans should follow the same cost format as submitted by the primary Applicant. A breakdown of all costs according to each partner organization or sub-awardee involved in the program should be provided. NOTE: If sub-awards are anticipated and not explained in the original application, the Grant Officer and PAS BAGHDAD staff approval (after award) will be required before the sub-agreement may be executed.
- Required assurances, certifications and representations.
- Information that confirms and ensures that proposed cost sharing will materialize.
- Applicants should submit additional evidence they deem necessary for the Grant Officer and PAS BAGHDAD staff to make a risk assessment. The information submitted should substantiate that the Applicant:
 - Has adequate financial resources or the ability to obtain such resources as required during the performance of the award;
 - Has the ability to comply with the award conditions, taking into account all existing and currently prospective commitments of the applicant, non-governmental and governmental;

- Has a satisfactory record of performance. Past relevant unsatisfactory performance is ordinarily sufficient to justify a finding of non-responsibility, unless there is clear evidence of subsequent satisfactory performance;
- Has a satisfactory record of integrity and business ethics; and
- Is otherwise qualified and eligible to receive a cooperative agreement under applicable laws and regulations (e.g., EEO).

Certain documents are required to be submitted by an Applicant in order for the Grant Officer and PAS BAGHDAD staff to make a risk determination. However, it is US Embassy Baghdad policy not to burden Applicants with undue reporting requirements if that information is readily available through other sources. If the Applicant has established a consortium among its partners, the agreement should include a full discussion of the relationship between the Applicant and Sub-Applicant(s) including identification of the Applicant with whom PAS BAGHDAD staff will work with for purposes of Agreement administration, identity of the Applicant which will have accounting responsibility, how Agreement effort will be allocated and the express agreement of the principals thereto to be held jointly and severally liable for the acts or omissions of the other.

POTENTIAL REQUEST FOR ADDITIONAL DOCUMENTATION

Upon consideration of award or during the negotiations leading to an award, Applicants may be required to submit additional documentation prior to issuance of an award. Applicants should not submit the information below with their applications. The information in this section is provided so that Applicants may become familiar with additional documentation that may be requested by The Grant Officer and PAS BAGHDAD staff.

- Reviewed Financial Statements Report or an Audited Financial Statements Report: Applicants who do not have a NICRA and cannot or choose not to use the 10% de Minimis rate pursuant to 2 CFR 200.414(f) may be required to submit one of these reports during negotiations.
- Bylaws, constitution, and articles of incorporation, if applicable.

- Any other information deemed necessary by the Grant Officer and PAS BAGHDAD staff to make an affirmative determination of responsibility (positive risk assessment determination).

F. Funding Restrictions

The US Embassy Baghdad policy is not to award profit under assistance instruments. Pre-Award Costs – No costs chargeable to any award resulting from this NOFO may be incurred before receipt of either a fully executed Agreement or a specific, written authorization from the Grant Officer.

G. Audits

The recipient is required to maintain books, records, documents, and other evidence, in accordance with the recipient's usual accounting procedures to sufficiently substantiate charges to the award. The recipient confirms that its program will be subject to an independent audit in accordance with the recipient's usual auditing procedures, and agrees to furnish copies of these audit reports to US Embassy Baghdad along with other related information, as may be reasonably requested by US Embassy Baghdad with respect to questions or findings arising from the audit report.

a. The recipient agrees to furnish the US Embassy Baghdad with a final report on activities carried out under the award, including accounting for award funds in sufficient detail to enable US Embassy Baghdad to liquidate the award. The report must be submitted to the address specified in the award.

b. It is understood that financial records, including documentation to support entries on accounting records and to substantiate charges against the award, will be maintained in accordance with the recipient's usual accounting procedures, which must follow generally accepted accounting practices. The recipient must maintain such financial records for at least three years after the recipient's final disbursement of funds under the award. The recipient

agrees to make available to the US Embassy Baghdad all records and documents that support expenditures made under its program.

H. Award Selection Criteria

Evaluation Criteria: Applicants should note that the following criteria (1) Serve as a standard against which all proposals will be evaluated, and (2) Serve to identify the significant matters that should be addressed in all proposals. The USG will award grants to the applicant whose offers represent the best value to the USG on the basis of technical merit, past performance, and cost.

Each application will be evaluated by a peer review committee of US Embassy PAS and other experts, as deemed appropriate. The evaluation criteria have been tailored to the requirements of this NOFO.

- **Project Goals/Implementation Plan (60 points):** Applicants should describe what they propose to do and how they will do it. The proposed activities must directly relate to meeting the goals and objectives, and applicants should include information on how they will measure activities' effectiveness and provide milestones to indicate progress toward NOFO-stipulated goals. The review panel will be viewing the implementation plan in terms of how well it addresses the goals and objectives, feasibility of the proposed activities and their timeline for completion, and the extent to which the impact of the project will continue beyond the conclusion of the funding period.
- **Organizational Capability (30 points):** Proposals should demonstrate the ability to develop and implement programs in the areas covered by the NOFO. Applicants must demonstrate how their resources, capabilities, and experience will enable them to achieve the stated goals and objectives.
- **Appendices (10 points):**

- o Budget: Costs shall be evaluated for realism, control practices, and efficiency. The NEA/PPD and ECA must determine that the costs paid for this award are reasonable, allowable, and allocable to the proposed project activities. This will consist of a review of the Budget to determine if the overall costs are realistic for the work to be performed, if the costs reflect the applicant's understanding of the allowable cost principles established by OMB Circular A-122, and if the costs are consistent with the program narrative. Applicants must submit SF 424A – “Budget Information – Non-Construction Program” along with a comprehensive budget for the entire program. There must be a summary budget as well as breakdowns reflecting both administrative and program budgets. Applicants may provide separate sub-budgets for each program phase, location or activity to provide clarification.
- o Resume: The review panel will consider the appropriateness of the selected project key personnel; in view of the roles and responsibilities those individuals will play in guiding the project through implementation to completion. While it is preferable to name project personnel and provide their resumes, position descriptions submitted in lieu of the resumes will be reviewed for the appropriateness of the qualifications and skills identified.

DISCLAIMER

Explanatory information provided by the PAS BAGHDAD that contradicts published language is not binding. Issuance of this NOFO does not constitute an award commitment on the part of the U.S. Government. The NEA reserves the right to waive program formalities and to reduce, revise, or increase proposal budgets in accordance with the needs of the program and the availability of funds. Awards made will be subject to periodic reporting and evaluation requirements as specified above and in the Notice of Award.